

## CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS

The undersigned financial institution, Kreissparkasse Köln, hereby certifies as follows:

**A. This Certification applies to all accounts established for Kreissparkasse Köln covered by Financial Institutions.**

**B. Physical Presence/Regulated Affiliate Status:**

Kreissparkasse Köln maintains a physical presence in Germany. That means:

- Kreissparkasse Köln has a place of business at the following street address: Neumarkt 18-24, 50667 Köln, where Kreissparkasse Köln employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in Germany, where Kreissparkasse Köln is authorized to conduct banking activities.
- Kreissparkasse Köln is subject to inspection by Bundesanstalt für Finanzdienstleistungsaufsicht, Graurheindorfer Str. 108, 53117 Bonn, the banking authority that licensed Foreign Bank to conduct banking activities

**C. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Kreissparkasse Köln hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**D. Ownership Information:**

Kreissparkasse Köln has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Kreissparkasse Köln; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Kreissparkasse Köln. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one person.

\*The same family means parent, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interest of the same family, any voting interest of any family member shall be taken into account.

Name:

Zweckverband für die Kreissparkasse Köln

Adresse:

Neumarkt 18-24

50667 Köln

Germany

**E. Process Agent:**

The following individual or entity: CT Corporation System is a resident of the United States at the following street address: 111, Eight Avenue CT, New York, NY 10011, and is authorized to accept service of legal process on behalf of Kreissparkasse Köln from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**F. General**

Kreissparkasse Köln hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Kreissparkasse Köln understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the

United States. Kreissparkasse Köln further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such governmental functions.

I, Michael Pecher, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Kreissparkasse Köln.

Kreissparkasse Köln  
Michael Pecher  
AML-Officer  
Neumarkt 18-24  
50667 Köln  
Germany

Cologne, February 14, 2020

A handwritten signature in black ink, appearing to read 'M. Pecher', is written above a horizontal line.

Signature